

# LEGAL ENTITY IDENTIFIER (LEI)

Apply now for your LEI at [www.wm-leiportal.org](http://www.wm-leiportal.org)

## The reason why you require an LEI:

An LEI allows an unambiguous identification of issuers and companies in accordance with the following regulations:

### EU

- EMIR (European Market Infrastructure Regulation)
- MIFID II (Markets in Financial Instruments Directive)
- MAR (Market Abuse Regulation)
- CSDR (Central Securities Depositories Regulation)

### Non-EU

- MREs (Minimum Requirements for Own Funds and Eligible Liabilities) | United Kingdom
- DFA (Dodd Frank Act) | United States
- CEA (Commodity Exchange Act) | United States
- OTC Derivatives Reporting | Australia
- FinFraG (Financial Market Infrastructure Act) | Switzerland
- Reporting of Derivatives Contracts | Singapore
- Others<sup>1</sup>

### We can offer:

- A platform to apply for an LEI easily and quickly
- The global allocation of LEIs in more than 169 countries
- LEI-allocation on the day of application<sup>2</sup>
- A certified and reliable LEI partner who has been actively allocating LEIs since October 2013

The LEI allows identifying unequivocally the issuer of a financial instrument worldwide. Just as the ISIN or WKN is allocated individually to each instrument, each issuer receives an individual LEI.

Due to the introduction of new European directives and regulations (e.g., EMIR, MiFID II, CSDR), the LEI has become more and more important in recent years. Non-European regulations (Dodd-Frank-Act, FinfraG etc.) have made the LEI usage mandatory as well.

In particular, market places (for entities which issue financial instruments and qualify for an LEI) require an LEI to correctly identify an issuer and fulfil their reference data reporting obligations as per Article 27 MiFIR / Article 4 of the European Market Abuse Regulation – MAR. Even after the introduction of the new prospectus regulations (on the basis of the EU Prospectus Ordinance) which will be applicable from 21 July 2019 onwards, LEIs and ISINs will continue to be required.

LEIs and ISINs help make issues and issuers more transparent to the financial market participants. Linking the two together is actively supported by the Association of National Numbering Agencies (ANNA) and the Global Legal Entity Identifier Foundation (GLEIF).

<sup>1</sup> <https://www.gleif.org/en/lei-solutions/regulatory-use-of-the-lei>

<sup>2</sup> If the LEI-application is submitted on a working day before 4:00 p.m.